PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL **FORM**

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(to be used for all correspondence after initial filing)

Alexandria, VA 22313-1450 on the date shown below.

Sandy Yi

Typed or printed name

Total Number of Pages in This Submission

| Application Number | 10/650,404 |
|------------------------|----------------------------|
| Filing Date | August 27, 2003 |
| First Named Inventor | Akira Fujibayashi |
| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | 36992.00111 (HAL 184/CON1) |

| ENCLOSURES (check all that apply) | | | | | | |
|---|--|--|---|--|--|--|
| Fee Transmittal F | orm (in duplicate) | Drawing(s) | After Allowance Communication to Group | | | |
| Fee Attached | | Licensing-related Papers | Appeal Communication to Board of Appeals and Interferences | | | |
| ☑ Preliminary Amendment (4 pages) | | Petition | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| After Final | | Petition to Convert to a Provisional Application | Proprietary Information | | | |
| with RCE | | Power of Attorney, Revocation Change of Correspondence Ad | dress Request for Status of Application | | | |
| Extension of Time Request (in duplicate) | | Terminal Disclaimer | Other Enclosure(s) (please identify below): | | | |
| ⊠ Return Postcard | | Request for Refund CD, Number of CD(s) | | | | |
| ☐ Information Disclosure Statement | | | | | | |
| Certified Copy of Priority Document(s) | | Remarks | | | | |
| Response to Missing Parts/ Incomplete Application | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | |
| | SIGNA | TURE OF APPLICANT, ATTORI | NEY, OR AGENT | | | |
| Firm <i>or</i> Individual name | Marc A. Sockol, Reg. No. 40,823 Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043 | | | | | |
| Signature | MALL | | | | | |
| Date | October 14, 2003 | | | | | |
| CERTIFICATE OF MAILING | | | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, process) and application. Completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Date

October 14, 2003

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006, OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Applicant claims small entity status. See 37 CFR 1.27

0

| Complete if Known | | | | |
|----------------------|----------------------------|--|--|--|
| Application Number | 10/650,404 | | | |
| Filing Date | August 27, 2003 | | | |
| First Named Inventor | Akira Fujibayashi | | | |
| Examiner Name | Unknown | | | |
| Art Unit | Unknown | | | |
| Attorney Docket No. | 36992.00111 (HAL 184/CON1) | | | |

| METHOD OF PAYMENT (check all that apply) | | | FEE CALCULATION (continued) | | | | | | |
|---|--------------------|-----------------------------------|-----------------------------|---|--------------|--|---|--|----------|
| | | 3. ADDITIONAL FEES | | | | | | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order | | Large | Entity | Small I | Entity | | | | |
| ☐ Deposit Accou | nt: | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Pald |
| Deposit Account | 05-0150 | • | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Number | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| Deposit | | 1053 | 130 | 1053 | 130 | Non-English specification | | | |
| Account Squire, Sanders & Dempsey L.L.P. | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | | |
| Name The Director is authorized to: (check all that apply) | | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | |
| ⊠ Charge fee(s) indicated below | | | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | |
| ☐ Charge fee(s) indicated below, except for the filing fee | | | 1251 | 110 | 2251 | 5 5 | Extension for reply within first month | | |
| to the above-ident | · | CALCULATION | | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC F | LING FEE | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Large Entity | Small Entity | | | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| | ee Fee ode (\$) | Fee Description Fee F | aid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1 ''' | 001 385 | Utility filing fee | | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| | 002 170 | Design filing fee | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1003 530 2 | 003 265 | Plant filing fee | | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| | 004 385 | Reissue filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 2 | 005 80 | Provisional filling fee | | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| SUBTOTAL (1) (\$) 0 | | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | | |
| | | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 2. EXTRA CLA | IM FEES FO | OR UTILITY AND REISSUE | | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | | | ee | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Total Claims 6 -20 ** = 0 X = 0 | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | | |
| Independent | = | | | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| Claims 2 | -3 ** = | = 0 | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent Large Entity | ı Small Enti | X = 0 | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| Fee Fee | Fee F | ee Fee Description | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection | |
| Code (\$) 1202 18 | Code (5 | •) ——— | | 1810 | 770 | 2810 | 385 | (37 CFR § 1.129(a)) For each additional invention to be | \vdash |
| 1202 18 | | 3 Independent claims in excess | of 3 | 1.010 | <i>,,,</i> , | 2010 | 303 | examined (37 CFR § 1.129(b)) | |
| 1203 290 | | 45 Multiple dependent claim, if n | | 1801 | 770 | 2801 | 385 | | |
| | 1 | ** Poissus independent claim | | | | | | Request for Continued Examination (RCE) | |
| 1204 86 | | original patent | | 1802 | 900 l | 1802 | 900 | Request for expedited examination of a design application | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | | | | | | | |
| SUBTOTAL (2) (\$) 0 | | Other fee (specify) | | | | | | | |
| **or number previously paid, if greater; For Reissues, see above | | | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0 | | | | | |
| SUBMITTED BY | | | | | | | | Complete (if conficeble) | |
| JUDMILIEU BY | | | | | | | | Complete (if applicable) | |

Registration No. Name (Print/Type) Marc A. Sockol (Attorney/Agent) 40,823 Telephone 650.856.6500 Signature October 14, 2003

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